Frances Floriano Goins

Partner

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Cleveland - 216.583.7202

Fran helps clients resolve complex business disputes through litigation and negotiation. She represents public and private companies and individual directors, officers, and shareholders in disputes on issues including securities, corporate governance, cybersecurity, consumer, and contract law. She regularly defends clients in SEC and other regulatory enforcement actions. Fran has conducted many internal corporate and special litigation investigations, and litigated numerous takeover and proxy contests involving public companies.

Additionally, Fran counsels businesses on compliance and training for governance, data privacy and cybersecurity, ethics, and anti-bribery best practices.

Fran is an accomplished appellate lawyer, successfully arguing appeals on many groundbreaking issues. She also serves as an arbitrator on the American Arbitration Association Commercial and Consumer Panels and has represented numerous clients in arbitration proceedings.

Well-respected by in-house counsel and peer attorneys, Fran is consistently ranked as one of Chambers USA's "Leaders in Their Field" in Ohio for General Commercial Litigation and a "Litigation Star" in Ohio by *Benchmark Litigation*. She has appeared in *Benchmark's "*Top 250 Women in Litigation" in the U.S. since 2014. Fran is nationally recognized by *The Best Lawyers in America®* in Corporate Compliance Law, Corporate Governance Law, Securities Litigation, and Banking & Finance Litigation, and was named Best Lawyers 2022 "Lawyer of the Year" for Corporate Compliance Law, in 2018 for Banking and Finance Law, and in 2017 for Securities Litigation.

Since 2019, Fran has served on the faculty of the Ohio Supreme Court Judicial College, instructing judges on topics including securities and shareholder litigation, "business divorce" actions for private companies, and fiduciary duties of managers, members, controlling shareholders, and partners in various types of business entities. She was also tapped by the Department of Homeland Security to speak on "Cybersecurity in the C-Suite and Boardroom" in its C-Cubed webinar series.

Fran is active in the ABA, having previously chaired the Business Law Section Director & Officer Liability Committee. She was appointed by the President of the ABA to the Standing Committee on Election Law Advisory Board in 2020, and is a past chair of the

Practices & Industries

- Securities & Corporate Governance Compliance
- Data Privacy & Cybersecurity
- Financial Services
- Corporate Governance
- Appellate Litigation
- Class Actions
- Consumer & Financial Litigation
- Complex Business Litigation
- Governance Disputes & D&O Litigation
- Litigation & Dispute Resolution
- Manufacturing

Standing Committee's Programming Subcommittee. She is active in the community, including serving as a director of Beck Center for the Arts in Lakewood, Ohio, participating as a long-time member of the Cleveland Rape Crisis Center *Sing Out!* Chorale, and serving as chair of the Art Song Festival at the Cleveland Institute of Music.

Education

- Cleveland Institute of Music (B.Mus., *Valedictorian*)
- Cleveland Institute of Music (M.Mus.)
    - Margaret Ireland Fellowship
- Case Western Reserve University School of Law (J.D.)
    - Order of the Coif
    - Society of Benchers Outstanding Student Award
    - Editor-in-Chief, Case Western Reserve University Law Review

Bar Admissions

- Ohio
- New York
- U.S. Supreme Court
- U.S. Court of Appeals, Sixth Circuit
- U.S. Court of Appeals, Ninth Circuit
- U.S. District Court, Northern District of Ohio
- U.S. District Court, Southern District of Ohio
- U.S. District Court, Southern District of New York

Representative Experience

- Successfully defended a start-up company in state securities regulatory investigations arising from a Regulation A+ offering that was qualified by the SEC; issues include the adequacy of disclosures to investors and the extent of federal preemption under the JOBS Act.
- Successfully represented an investment advisor/supervisory principal on allegations by the firm of negligence stemming from a cyber phishing incident that resulted in substantial customer loss.
- Participating in the representation of an investment company in a multi-million-dollar arbitration brought against the client's foreign partner for refusal to honor a contractual obligation to sell the parties' jointly owned South American telecom company, related proceedings in federal District Courts in New York and Florida, and follow-on arbitrations in various South American countries.
- Represented a self-directed IRA custodian in a putative class action alleging aiding and assisting a Ponzi scheme in violation of the Ohio Securities Act; obtained dismissal in District Court and argued the appeal in the Sixth Circuit; obtained a seminal decision from the Ohio Supreme Court on a certified question of first impression on the reach of secondary liability under the Ohio Securities Act (*Boyd v. Kingdom Trust Co.*, 154 Ohio St. 3d 196).

- Representing the trustee of a multi-million-dollar testamentary trust in ongoing disputes with a parent of the sole beneficiary.
- Secured the dismissal of claims against The Huntington National Bank as bond trustee in a lawsuit brought by the former owner of property owned by the issuer, claiming rights adverse to the bondholders. (*USA Parking Systems, Inc., et al. v. Eastern Gateway Community College*, et al., Case No. 4:20-cv-01967 (N.D. Ohio)).
- Successfully litigated and then argued the 6th Circuit appeal defending a U.S. District Court order dismissing Eaton Corporation and its executive officers from a putative class action alleging federal securities law violations in connection with events in trade secret litigation the company conducted in Mississippi. (*In re: KBC Asset Management N.V. (Florida Carpenters Reg. Council Pension Plan v. Eaton Corp., et al.)*, Case Nos. 13- 4059/13-4354 (U.S. 6th Cir.)).
- Served as lead counsel defending a China-based tire manufacturer in a $30 million dispute with a U.S. distributor, including a purported $100 million counterclaim.
- Secured dismissal on a preliminary motion of a web host company in a putative class action arising from a hacker intrusion into a bank's website and resulting customer identity theft (*Pisciotta v. Old National Bancorp and NCR Corporation*, (S.D. Ind.)).
- Obtained a defense jury verdict on behalf of The Huntington National Bank on claims of aiding and abetting a $65 million Ponzi scheme after defeating motion for class certification; obtained dismissal on preliminary motion of seven other defendant banks and financial institutions on related UCC and conspiracy to defraud claims arising from handling of customer accounts; argued successfully on appeal resulting in seminal Sixth Circuit CAFA jurisdiction decision (*Metz v. Unizan Bank*, 2011 U.S. App. LEXIS 17227 (U.S. 6th Cir.)).
- Successfully defended a bond trustee on claims of rights adverse to the bondholders by a former owner of property now owned by the bond issuer. (*USA Parking Systems, Inc., et al. v. Eastern Gateway Community College, et al.,* Case No. 4;20-cv-01967 (N.D.Ohio)).
- Prosecuted claims by a member of an Ohio LLC of over $17 million for breach of fiduciary duty and breach of contract against co-managing member In a virtual arbitration.
- Successfully represented a Mexican purchaser of U.S. companies prosecuting claims against the sellers in the Southern District of New York for fraud and breach of warranties.
- Successfully represented a private internet company in stockholder litigation in federal court in Ohio and Delaware Chancery Court on claims including fraud and unjust enrichment arising from secondary stock sale by company executives.
- Represented numerous companies in post-data breach analysis and recovery efforts, including advising on regulatory issues.
- Successfully represented a party seeking to enforce an arbitration award in the Ohio Supreme Court on an issue of first impression involving interpretation of the Ohio Arbitration Act.
- Successfully represented a payment processor in a Sixth Circuit appeal seeking to enforce contract penalties against an agent who violated contractual non-solicitation agreement.
- Successfully represented an investment fund on accounting malpractice claims against a former auditor who precipitously resigned without good cause, resulting in the fund's operations being temporarily suspended by regulators.

- Defended the acquirer of an educational software company on claims of aiding and abetting securities law violations and breach of fiduciary duty in shareholder class action litigation challenging merger. *Isaacs, et al., v. Greene, et al.* (N.Y. S. Ct.).
- Represented a private company and board of directors in a shareholder dispute involving allegations that the deceased company founder and CEO defrauded investors by failing to reveal that funds from a new capital raise would be used to cash out the company founders.
- Served as lead Ohio counsel defending BP America, Inc. and its officers in investor litigation arising from the Deepwater Drilling disaster.
- Defended a major SAP consulting firm on claims including breach of contract and fraudulent inducement stemming from issues with an international multi-million dollar software conversion project; the case settled after extensive discovery (*W.C. Bradley Co. v. iTelligence Inc.*, 4:17-cv-00208 (USDC M.D. Ga.)).
- Represented a national hotel chain in numerous litigation matters, including a major real estate development dispute in Florida and premises liability and general business cases.
- Assisted multi-national companies in planning and training to enhance cybersecurity.
- Served as lead counsel representing a Chinese-based Nevada corporation in shareholder litigation in Nevada federal court arising from a reverse merger. (*China Energy Corp. v. Hill*, Case No. 3:13-cv-00562 (D. Nev.)).
- Successfully defended a national property developer in an action to appoint a receiver for major hotel properties (*Bank of America v. Braveheart Columbus LLC*, Case No.10 CV 008608 (Ohio App. Franklin Cty.)).
- Obtained dismissal of putative class and individual actions on preliminary motions and summary judgment as lead national litigation counsel for a major trust company self-directed IRA custodian in cases around the country, defending claims for fraud, breach of contract, violation of the RICO Act, and state securities and consumer protection laws, and in connection with Ponzi schemes.
- Successfully defended a national bank in a RICO conspiracy action by a putative consumer class alleging conspiracy in connection with telemarketing fraud; obtained dismissal of the amended complaint on motion and argued Sixth Circuit appeal. (*Johnson v. U.S. Bank N.A.*, 2009 U.S. Dist. LEXIS 2011; *affirmed* (6^{th} Cir.)).
- Secured a voluntary dismissal of an investor suit against a bank after discovery for alleged mismanagement and suitability violations with respect to an agency account.
- Represented the FDIC in investigating and prosecuting professional liability and D&O claims for failed banks and S&Ls in Ohio and Illinois.
- Successfully represented a hotel developer in a $150 million reorganization/foreclosure action; defeated motion to appoint a receiver.
- Obtained dismissal of FDCPA and state RICO claims in a putative class action against a financial institution and its attorneys alleging irregularities in state court foreclosure actions (*Whittiker, et al. v. Deutsche Bank National Trust Company, et al.*, Case No. 1:08 CV 300 (N.D. Ohio).
- Recovered the full value of a $9 million mortgage portfolio participation interest claim for a federal credit union client in liquidation proceedings of another federal credit union.
- Successfully represented a thrift director and major shareholder in OTS proceedings alleging violation of acquisition of control regulations.
- Secured dismissal on a preliminary motion of four national banks and their holding

companies in a multi-million-dollar putative class action involving National Bank Act preemption issues arising from claims that defendant banks improperly charged fees in garnishment proceedings in violation of state law; affirmed on appeal (*Monroe Retail, Inc., et al. v. Charter One Bank, N.A., et al*., 589 F.3d 274 (6th Cir.)).

- Obtained defense verdict in a trade secret case involving a spinoff from a national catalog sales company (*Suarez Corp. v. Napier, et al.*, Case No. 2002 CV 01420 (Stark Cty., Ohio, C.P.)).
- Represented a major shareholder as lead counsel in seminal Delaware cases determining validity of a voting trust as a takeover mechanism in relation to directors' fiduciary duty to protect minority shareholders (*Omnicare, Inc. v NCS Healthcare, Inc.,*818 A.2d 914 (Del.)).
- Obtained dismissal on motion of directors of a public company in a shareholder derivative action alleging breach of fiduciary duty and misrepresentation in connection with corporate reorganization; affirmed on appeal (*Barr v. Lauer,*2007 Ohio 156, *aff'd*, 2007 Ohio App. LEXIS 154 (Cuyahoga County App.)).
- Obtained dismissal on motion of a putative class action alleging violations of Ohio UCC and Retail Installment Sales Act in auto repo activities based on federal preemption (*Crespo v. WFS Financial, Inc.*, 2008 WL 4443934 (N.D. Ohio)).
- Obtained dismissal on motion of national bank directors in a shareholder derivative suit alleging breach of fiduciary duty in connection with a merger (*Perkel v. Unizan Financial Corp.,* Case No. 2004 CV 00577 (Stark Cty., Ohio, C.P.)).
- Defeated class certification and obtained dismissal on motion of a national underwriting firm in a Colorado suit by investors in private offerings alleging securities fraud and RICO claims (*Stephens v. WebAccess International, Inc.*, 2006 U.S. Dist. LEXIS 25934 (D. Colo.)).
- Obtained dismissal of twelve consolidated putative securities class action suits alleging accounting irregularities; secured seminal Sixth Circuit decision on "bespeaks caution" doctrine (*Charal v. Royal Appliance MFG.*, 1996 U. S. App. LEXIS 24626 (U.S. 6th Cir.)).
- Obtained a seminal decision dismissing an Ohio derivative shareholder suit based on of the plaintiff's failure to make a demand on the company's shareholders (*Rothstein v. Gosset*, Case No. 95- CV-0522 (Medina Cty., Ohio, C.P.))
- Successfully defended a national accounting firm in a derivative malpractice action arising from tax preparation services (*Sparks v. CBIZ Accounting, Tax & Advisory of Kansas City, Inc.*, 36 Kan. App. 2nd 660, 142 P3d 749).
- Secured partial dismissal on motion of a multi-national corporation and its officers in a putative worldwide shareholder class action alleging securities fraud in connection with a tire recall and related alleged accounting irregularities (*City of Monroe Employee's Retirement System v. Bridgestone Corporation,* Case 8-01-0017 (M.D. Tenn. 2004, *aff'd in part*, 2005 U.S. App. LEXIS (U.S. 6th Cir.)); subsequently settled.
- Obtained a defense award in an arbitration proceeding stemming from a shareholder class action/fraud litigation (*Greenfield/Florida State Board of Admin. v. CompuServ Corp., et al.*, Case Nos. 96 CVH-06-4810, 3906 (Franklin Cty., Ohio, C.P.)).
- Secured declaratory judgment on motion in favor of the founder and majority shareholder of a major private company in a case involving claims of breach of fiduciary duty, defamation, and breach of contract (*Trebilcock v. Elinsky, et al.*, Case No. 1:05cv2428 (U.S. Dist. Ct., N.D. Ohio)); settled during appeal.
- Successfully prosecuted trade secret and breach of fiduciary duty claims against the president of the U.S. subsidiary of a Swiss corporation (*Satis Vacuum AG v. Wilson*,

(Cuyahoga Cty., Ohio, C.P.)).

- Defeated class certification and secured dismissal on motion in a consumer lawsuit for fraud and breach of fiduciary duty against an IRA trustee; affirmed on appeal (*Moorjani v. Mid-Ohio Securities, et al.*, Ct. App. Case No. L-07-1249 (Lucas Cty., Ohio)).
- Successfully defended a national bank in multistate litigation by 300 individual plaintiffs alleging securities violations and fraud arising from the bank's loans to investors in a defunct company (*Appel v. SOS Industries*, Case No. 2001-31244 (7th Cir., Fla.)).
- Obtained dismissal on motion of a corporate president in a shareholder derivative action alleging accounting fraud and misappropriation of corporate assets (*Miner v. Figgie Int'l Corp.*(consolidated), Case Nos. 93CV001798 and 93CV001575 (Lake Cty., Ohio, C.P.)).
- Successfully represented a vacuum cleaner manufacturer in a preliminary injunction proceeding involving Lanham Act claims (*Royal Appliance Mfg. Co. v. Hoover Co.*, 845 F. Supp. 469 (U.S. Dist. Ct., N.D. Ohio)).
- Successfully represented the FDIC on holder-in-due-course claims (*Federal Deposit Insurance Corporation v. The Cremona Company, et al.*, 832 F.2d 959 (U.S. 6th Cir.)).
- Successfully defended a corporate trustee on claims of a class of debenture holders for breach of fiduciary duty.
- Obtained dismissal on motion of a national finance company in a suit alleging contractual violations related to the assignment of auto financing notes.
- Managed litigation for dozens of corporate control contests involving public and private companies, including Bridgestone Corp., First Union Real Estate Investment Trust, Cedar Point, Inc., Lubrizol Co., and Royal Appliance Mfg., Inc.
- Extensive experience defending corporate and individual clients in regulatory enforcement proceedings by governmental bodies, including the SEC, NYSE, FDIC, and OTS.
- Represented cities, counties, and police departments in a broad range of matters, including contract disputes, investment liability, general liability and insurance counseling, zoning, and First Amendment matters.

Publications & Presentations

PUBLICATIONS

- "Washington Enacts First In the Nation Health Data Protection Law," Ulmer & Berne LLP Client Alert (May 2023)
- "Illinois Supreme Court Rules Workers' Compensation Act Does Not Bar Claims Under BIPA," Ulmer & Berne LLP Client Alert (February 2022)
- "Time After Time: Illinois Supreme Court Asked To Decide When Statute of Limitations Accrues for BIPA Violations," Ulmer & Berne LLP Client Alert (December 2021)
- "Colorado Passes New Comprehensive Consumer Data Protections Act," Ulmer & Berne LLP Client Alert (July 2021)
- "SCOTUS Green Lights Price Impact Evidence for Class Cert in Inflation-Maintenance Case," Ulmer & Berne Client Alert (June 2021)
- "Illinois Federal Court Rules Apple May Be 'In Possession' of Biometric Data Stored on

User Devices," Ulmer & Berne LLP Client Alert (June 2021)

- "Virginia Passes New Consumer Data Protection Act," Ulmer & Berne LLP Client Alert (March 2021)
- "Lessons From the First Dismissal of a COVID-19 Related Securities Class Action Lawsuit," Ulmer & Berne LLP Client Alert (February 2021)
- "U.S. Supreme Court Accepts Case That Could Alter the Landscape of Private Securities Litigation," Ulmer & Berne LLP Client Alert (January 2021)
- "High Court Is Not The Arbiter Of Disputed Election Results," Law360 (November 2020)
- "As California Enacts New Data-Privacy Laws, So May the Nation," Ulmer & Berne LLP Client Alert (November 2020)
- "Mitigating Director Liability Claims in a COVID-19 World," Ulmer & Berne LLP Client Alert (May 2020)
- "The California Consumer Privacy Act Is Coming: Are You Ready?" Ulmer & Berne LLP Client Alert (October 2019)
- "INSIGHT: Protecting Broker-Dealers From Cyber Threats," *Bloomberg Law* (February 2019)
- "Ohio Supreme Court Clarifies the Definition of 'Participation in or Aiding' Securities Fraud," Ulmer & Berne Client Alert (November 2018)
- "INSIGHT: Getting Ready for Ohio's New Data Protection Act," *Bloomberg Law* (October 2018)
- "Coming Soon to a Company Near You: California's New Consumer Privacy Act," Ulmer & Berne LLP Client Alert (July 2018)
- "Don't Panic: GDPR Is Here, But There's Still Time to Comply!," Ulmer & Berne LLP Client Alert (June 2018)
- "Last Call for GDPR Compliance," Ulmer & Berne LLP Client Alert (May 2018)
- "D.C. Circuit Decision Restricts TCPA Litigation," Ulmer & Berne LLP Client Alert (March 2018)
- "House Passes Package of Mortgage Bills Including Changes to TRID and 'Madden Fix,'" Ulmer & Berne LLP Client Alert (February 2018)
- "Mere Increased Risk of Identity Theft Supports Standing in Data Breach Case," Ulmer & Berne LLP Client Alert (February 2018)
- "Privacy & Data Security," (Ohio Chapter), *Bloomberg Law* (January 2018)

PRESENTATIONS

- "Double Danger: How Corporations' Political Activities Create Risks and Opportunities When Election Laws and Corporate Governance Intersect," New York City Bar Association/ABA Standing Committee on Election Law, Co-Chair and Panel Moderator (April 2024)
- "2023 SEC in Review," Ulmer Financial Services Webinar Series (December 2023)
- "Federal Regulatory Updates and Investigations," CSU Cybersecurity & Privacy Protection Conference (April 2023)
- "The Pitfalls of Political Spending: How Can Directors and Officers Protect Their Constituencies While Advancing Democracy?" ABA Business Law Section Spring Meeting (April 2023)

- “Cybersecurity Challenges in Healthcare: From the COVID-Era and Beyond,” Center for Health Affairs Cybersecurity Roundtable (November 2022)
- “On the Sidelines or Taking Sides: Corporations, Elections, Social Responsibility and Predictions About Long Term Impacts on Corporate Governance,” ABA Business Law Section Annual Meeting (September 2022)
- “Indemnification of Directors and Officers in PE and VC Backed Companies,” ABA Business Law Section Hybrid Spring Meeting (March 2022)
- “What’s New at the SEC in 2022?” Ulmer Financial Services & Securities Litigation Webinar Series (March 2022)
- “Business Divorce Litigation: Claims and Remedies,” Supreme Court of Ohio Judicial College (September 2021)
- “Developments in Cybersecurity: Deciphering Biden’s New Executive Order,” Ulmer & Berne LLP Webinar (June 2021)
- “Managing Risk: What Boards Should Plan for in a COVID-19 World,” panel chair, ABA Business Law Virtual Spring Meeting (April 2021)
- “Data Protection & Cybersecurity Challenges for Financial Institutions in 2021,” Ulmer, Hot Topics in Financial Services & Securities Litigation Webinar (February 2021)
- “What Went Right: Addressing Claims of Widespread Voter Fraud in One of the Most Secure Elections in American History,” American Bar Association Webinar (January 2021)
- “Critical Cybersecurity Concerns in the 2020 Elections,” Case Western Reserve University The Laura and Alvin Siegal Lifelong Learning Program (August 2020)
- “Data & Privacy in the Financial Services Industry: How Can You Stay Current?” Ulmer, Hot Topics in Financial Services & Securities Litigation Webinar (December 2019)
- “Business Entity Structures; Duties & Liabilities of Directors, Officers, Partners, Members & Managers; Shareholder & Derivative Suits; & Litigation Damages & Remedies,” Supreme Court of Ohio Judicial College (November 2019)
- “Winning Appellate Arguments: How to Prepare for Any Contingency,” Litigation Counsel of America Fall Celebration of Fellows (October 2019)
- “Why Start-ups and Angel Directors Need to Pay Attention to Data Privacy and Cybersecurity: Regulatory Landscape and Risk-Mitigation Best Practices,” Angel Capital Association National Leadership Workshop (October 2019)
- “Resolving Difficult & Distressed Corporate Divorce & Complex Ownership Disputes through Mediation—A Case Study/Mock Dispute Resolution,” panel chair, American Bar Association Business Law Section Annual Meeting (September 2019)
- “Financial Services Deep Dive,” panelist, Cybersecurity & Privacy Protection Conference 2019, Cleveland-Marshall College of Law (May 2019)
- “Strategies for Obtaining the Affirmative Defense” and “Experts Answer Your Questions,” panelist, Ohio’s New Cybersecurity Law: What Will It Mean For Business and for Data Protection? The Ohio State University (May 2019)
- “Cybersecurity is the New Black: How are You Securing Your Data?” panelist, ICSC 2019 Retail Development & Law Symposium (March 2019)
- “Governance Jigsaw Puzzles: How Complex Organizations Manage Cyber Risk,” moderator, Info Sec, Legal Day @ the SUMMIT (October 2018)
- “Data Breach and Regulatory Investigations,” panelist, CSU Two Days of Cyber (March 2018)

- "The Cybersecurity Ninja's Guide to Protecting Your Clients and Your Firm," ABA Annual Meeting (August 2018)
- "D&O Insurance: What Potential Directors Need to Know," Women in the Boardroom (May 2018)
- "Hot Button Issues in SEC Enforcement," moderator, Ulmer, Hot Topics in Financial Services & Securities Litigation Seminar (May 2018)
- "Best Practices for Managing Cybersecurity Risk in Real Estate," International Council of Shopping Centers, Ohio, Kentucky, Indiana, Michigan, and Pennsylvania Retail Development & Law Symposium (March 2018)

Professional Affiliations

- Cleveland Metropolitan Bar Foundation (*Board of Directors*)
- American Arbitration Association Commercial and Consumer Arbitration Panelist
- American Bar Association (*Business Law Section – Past Chair, D&O Liability Committee; Member, Business and Corporate Litigation, Corporate Governance, Cyberspace Law, and Diversity Committees; Member, Standing Committee on Election Law Advisory Committee & Programming Subcommittee Chair)*
- Ohio State Bar Association (*Member, Task Force on Business Courts; Task Force on Officer Liability; Corporation Law Committee*)
- Cleveland Metropolitan Bar Association (*Securities Law Section, Past Chair; Judicial Selection Committee; Founding Member, Women in Law Section*)
- Federal Court Panel of Alternative Dispute Resolution Neutrals, N.D. Ohio *(Founding Member)*
- Ohio Women's Bar Association (*Founding Member*)
- William K. Thomas Chapter of the American Inns of Court, Master of the Bench Emeritus (*Past President*)
- U.S. District Court, N.D. Ohio, Advisory Group (*Emeritus Member*)
- National Institute for Trial Advocacy Faculty
- Litigation Counsel of America *(Barrister, Order of Centurions; Advocate, Order of Certus)*
- Litigation Counsel of America (*Member, Trial Law Institute and Diversity Law Institute*)

Community Involvement

- The Art Song Festival at the Cleveland Institute of Music *(Chair, Board of Trustees)*
- Beck Center for the Arts (*Director*)
- Cleveland Rape Crisis Center, Benefit Host Committee and *Sing Out!* Chorale
- Opera Cleveland (*Past Trustee*)
- Lyric Opera Cleveland (*Past Trustee*)

- CWRU School of Law Visiting Committee and National Advisory Board
- CWRU School of Law Foreign Graduates L.L.M Program Advisory Board
- CWRU School of Law Society of Benchers (*Past President*)
- Bay Village Montessori School (*Past Vice President and Trustee*)
- Northern Ohio Breast Cancer Coalition Fund (*Past Chair of Board of Trustees; Gala Committee Chair; Advisory Board*)
- Normandy School, Bay Village (*Past Member, PR Committee*)
- St. Raphael Church (*Past Member, Development and Pre-Cana Committees*)
- Riverside Youth Choirs at the Beck (*Past Trustee and Benefit Chair*)

Awards & Recognition

- Ranked as one of Ohio's "Leaders in Their Field" in General Commercial Litigation by *Chambers USA: America's Leading Lawyers for Business* (2015-2026)
- Named a "Litigation Star" in Ohio by *Benchmark Litigation* (2015-2026)
- *The Best Lawyers in America*, Corporate Compliance Law; Corporate Governance Law; Securities Litigation; Banking & Finance Litigation (2007-2026)
- Recognized by *Benchmark Litigation*, the definitive guide to America's leading business litigation attorneys, as one of the "Top 250 Women in Litigation" in the U.S. (2014-2025)
- Designated *Best Lawyers* Litigation – Corporate Compliance Law "Lawyer of the Year" in Cleveland (2022)
- Designated *Best Lawyers* Litigation – Banking and Finance "Lawyer of the Year" in Cleveland (2018)
- Designated *Best Lawyers* Litigation – Securities "Lawyer of the Year" in Cleveland (2013; 2017)
- Named to Cleveland's Top 25 Women Lawyers (2011; 2015; 2016; 2019-2024) and Ohio's Top 50 Women Lawyers (2010; 2015; 2016; 2019-2025) by Super Lawyers
- Named to the Ohio Super Lawyers List (2004-2026)
- Litigation Counsel of America (*Senior Fellow*)
- U.S. Sixth Circuit Judicial Conference (*Life Member*)
- Eighth District (Ohio) Judicial Conference (*Life Member; Conference Co-Chair 2015)*
- 2018 Diversity Law Institute Award, Litigation Counsel of America
- "Notable Women in Law," *Crain's Cleveland Business* (2018)
- Leading Financial Services and Securities Litigation Lawyer of the Year (Ohio), Acquisition International Global Media Ltd (2018)
- Recognized as one of *Cleveland Magazine's*" Top-Gun" trial lawyers
- YWCA Career Woman of Achievement Award (1986)
- "Leading Lawyer," *Inside Business Magazine* (top 1% of Ohio attorneys) (2004-2011*) *2011 final year of survey
- International Legal Professional of the Year (2004)

- 2005 Breast Cancer Hero Award, Northern Ohio Breast Cancer Coalition Fund
- Citation for Community Service, Cuyahoga County Board of Commissioners (2005)
- AV Preeminent®, Martindale-Hubbell Peer Review Ratings

News & Media

June 4, 2026
UB Greensfelder Earns Top Rankings in Chambers USA 2026 Edition

October 30, 2025
UB Greensfelder and Attorneys Receive Honors in Illinois, Missouri, and Ohio in 2026 Edition of Benchmark Litigation Guide

August 21, 2025
UB Greensfelder Attorneys Recognized in The Best Lawyers in America® 2026 List

June 5, 2025
UB Greensfelder Expands Chambers USA Rankings with 13 Practices and 24 Attorneys Recognized in the 2025 Edition

December 17, 2024
Ohio Super Lawyers Recognizes 57 UB Greensfelder Attorneys in 2025

October 9, 2024
UB Greensfelder and Attorneys Receive Honors in Illinois, Missouri, and Ohio in 2025 Edition of Benchmark Litigation Guide

August 15, 2024
UB Greensfelder Attorneys Recognized in The Best Lawyers in America® 2025 List

June 6, 2024
Nine UB Greensfelder Practices and 22 Attorneys Recognized by Chambers USA 2024

December 29, 2023
Ohio Super Lawyers Recognizes 50 Ulmer Attorneys in 2024 Lists

October 12, 2023
Benchmark Litigation Honors Ulmer Litigation and Employment Partners in 2024 Reports

August 17, 2023
Ulmer Attorneys Recognized in The Best Lawyers in America® 2024 Report

June 7, 2023
Chambers USA 2023 Report Gives Ulmer Top Marks

May 1, 2023
Washington Enacts First In the Nation Health Data Protection Law

January 6, 2023

Ohio Super Lawyers Recognizes 69 Ulmer Attorneys in 2023 Lists

October 5, 2022
Benchmark Litigation Honors Several Ulmer Partners in 2023 Reports

September 1, 2022
Ulmer Partner Frances Floriano Goins Named to Benchmark Litigation's "Top 250 Women in Litigation" for 2022

August 18, 2022
Ulmer Attorneys Recognized in The Best Lawyers in America® 2023 Report

June 2, 2022
Ulmer Earns Top Marks in Chambers USA 2022 Report

February 8, 2022
Illinois Supreme Court Rules Workers' Compensation Act Does Not Bar Claims Under BIPA

January 4, 2022
Ohio Super Lawyers Recognizes Ulmer Attorneys in 2022 Lists

December 22, 2021
Time After Time: Illinois Supreme Court Asked To Decide When Statute of Limitations Accrues for BIPA Violations

October 5, 2021
Benchmark Litigation Honors Several Ulmer Partners in Latest Reports

August 6, 2021
Ulmer Partner Frances Floriano Goins Named to Benchmark Litigation's "Top 250 Women in Litigation" for 2021

July 29, 2021
Colorado Passes New Comprehensive Consumer Data Protection Act

June 30, 2021
SCOTUS Green Lights Price Impact Evidence for Class Cert in Inflation-Maintenance Case

June 23, 2021
Illinois Federal Court Rules Apple May Be "In Possession" of Biometric Data Stored on User Devices

May 20, 2021
Ulmer & Berne Honored in Chambers USA 2021 Edition

March 8, 2021
Virginia Passes New Consumer Data Protection Act

February 8, 2021
Lessons From the First Dismissal of a COVID-19 Related Securities Class Action Lawsuit

January 4, 2021

U.S. Supreme Court Accepts Case That Could Alter the Landscape of Private Securities Litigation